

EV 109680515

#7
12/03
A.W.

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No.09361,782
3 Filing DateJul 26, 1999
4 Inventor.....Deen et al.
5 Group Art Unit2771
ExaminerQueler
Attorney's Docket No.MS1-390US
Title: Methods and Systems for Preparing Extensible Markup Language (XML)
Documents and for Responding to XML Requests

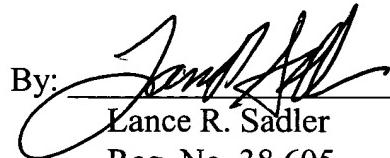
6 **SUBSTITUTE DRAWING REQUEST****RECEIVED**
DEC 20 2002**Technology Center 2100**

7 To: Drawing Review Branch
8 Commissioner of Patents and Trademarks,
9 Washington, D.C. 20231

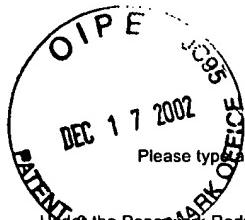
10 From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)
11 Customer No. 22801

12 Applicant submits herewith a new set of formal drawings to correct
13 informalities noted by the official draftsperson in an to the Office Action dated
14 September 17, 2002.

15 Respectfully Submitted,

16 Date: 12/17/0217 By: 
18 Lance R. Sadler
Reg. No. 38,605
(509) 324-9256

12-18-02

GP/2447
2171

Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09361,782
Filing Date	Jul 26, 1999
First Named Inventor	Deen et al.
Group Art Unit	2771
Examiner Name	Queler

Total Number of Pages in This Submission

RECEIVED

DEC 20 2002

Technology Center 2100

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) 7 Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ol style="list-style-type: none"> 1. Substitute Drawing Request 2. Return Post Card
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Lance R. Sadler

Signature

Date

12/17/02

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. The Express Mail No. has also been marked on the envelope.
Express Mail No. **EV-9680515**

Typed or printed name

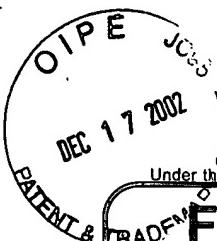
Dana L. Calhoun

Signature

Date

12/17/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



EV 09680515

PTO/SB/17 (11-01) Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09361,782
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	Jul 26, 1999
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Deen
		Examiner Name	Queler
		Group Art Unit	2771
		Attorney Docket No.	MS1-390US

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> </tr> <tr> <td>105 740</td> <td>201 370</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>106 330</td> <td>206 165</td> <td>227 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>107 510</td> <td>207 255</td> <td>139 130</td> <td>Non-English specification</td> </tr> <tr> <td>108 740</td> <td>208 370</td> <td>147 2,520</td> <td>For filing a request for ex parte reexamination</td> </tr> <tr> <td>114 160</td> <td>214 80</td> <td>112 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td colspan="2">SUBTOTAL (1) (\$)</td> <td>113 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td colspan="2"></td> <td>115 110</td> <td>Extension for reply within first month</td> </tr> <tr> <td colspan="2"></td> <td>116 400</td> <td>Extension for reply within second month</td> </tr> <tr> <td colspan="2"></td> <td>117 920</td> <td>Extension for reply within third month</td> </tr> <tr> <td colspan="2"></td> <td>118 1,440</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td colspan="2"></td> <td>128 1,960</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td colspan="2"></td> <td>119 320</td> <td>Notice of Appeal</td> </tr> <tr> <td colspan="2"></td> <td>120 320</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td colspan="2"></td> <td>121 280</td> <td>Request for oral hearing</td> </tr> <tr> <td colspan="2"></td> <td>138 1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td colspan="2"></td> <td>140 110</td> <td>Petition to revive - unavoidable</td> </tr> <tr> <td colspan="2"></td> <td>141 1,280</td> <td>Petition to revive - unintentional</td> </tr> <tr> <td colspan="2"></td> <td>142 1,280</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td colspan="2"></td> <td>143 460</td> <td>Design issue fee</td> </tr> <tr> <td colspan="2"></td> <td>144 620</td> <td>Plant issue fee</td> </tr> <tr> <td colspan="2"></td> <td>122 130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td colspan="2"></td> <td>123 50</td> <td>Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td colspan="2"></td> <td>126 180</td> <td>Submission of Information Disclosure Stmt</td> </tr> <tr> <td colspan="2"></td> <td>581 40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td colspan="2"></td> <td>146 740</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td colspan="2"></td> <td>149 740</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td colspan="2"></td> <td>179 740</td> <td>Request for Continued Examination (RCE)</td> </tr> <tr> <td colspan="2"></td> <td>169 900</td> <td>Request for expedited examination of a design application</td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">*or number previously paid, if greater; For Reissues, see above</td> <td colspan="2">SUBTOTAL (3) (\$)</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	105 740	201 370	205 65	Surcharge - late filing fee or oath	106 330	206 165	227 25	Surcharge - late provisional filing fee or cover sheet	107 510	207 255	139 130	Non-English specification	108 740	208 370	147 2,520	For filing a request for ex parte reexamination	114 160	214 80	112 920*	Requesting publication of SIR prior to Examiner action	SUBTOTAL (1) (\$)		113 1,840*	Requesting publication of SIR after Examiner action			115 110	Extension for reply within first month			116 400	Extension for reply within second month			117 920	Extension for reply within third month			118 1,440	Extension for reply within fourth month			128 1,960	Extension for reply within fifth month			119 320	Notice of Appeal			120 320	Filing a brief in support of an appeal			121 280	Request for oral hearing			138 1,510	Petition to institute a public use proceeding			140 110	Petition to revive - unavoidable			141 1,280	Petition to revive - unintentional			142 1,280	Utility issue fee (or reissue)			143 460	Design issue fee			144 620	Plant issue fee			122 130	Petitions to the Commissioner			123 50	Processing fee under 37 CFR 1.17(q)			126 180	Submission of Information Disclosure Stmt			581 40	Recording each patent assignment per property (times number of properties)			146 740	Filing a submission after final rejection (37 CFR § 1.129(a))			149 740	For each additional invention to be examined (37 CFR § 1.129(b))			179 740	Request for Continued Examination (RCE)			169 900	Request for expedited examination of a design application	Other fee (specify) _____				*or number previously paid, if greater; For Reissues, see above		SUBTOTAL (3) (\$)	
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																																
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)																																																																																																																																
105 740	201 370	205 65	Surcharge - late filing fee or oath																																																																																																																																
106 330	206 165	227 25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																
107 510	207 255	139 130	Non-English specification																																																																																																																																
108 740	208 370	147 2,520	For filing a request for ex parte reexamination																																																																																																																																
114 160	214 80	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																																
SUBTOTAL (1) (\$)		113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																																
		115 110	Extension for reply within first month																																																																																																																																
		116 400	Extension for reply within second month																																																																																																																																
		117 920	Extension for reply within third month																																																																																																																																
		118 1,440	Extension for reply within fourth month																																																																																																																																
		128 1,960	Extension for reply within fifth month																																																																																																																																
		119 320	Notice of Appeal																																																																																																																																
		120 320	Filing a brief in support of an appeal																																																																																																																																
		121 280	Request for oral hearing																																																																																																																																
		138 1,510	Petition to institute a public use proceeding																																																																																																																																
		140 110	Petition to revive - unavoidable																																																																																																																																
		141 1,280	Petition to revive - unintentional																																																																																																																																
		142 1,280	Utility issue fee (or reissue)																																																																																																																																
		143 460	Design issue fee																																																																																																																																
		144 620	Plant issue fee																																																																																																																																
		122 130	Petitions to the Commissioner																																																																																																																																
		123 50	Processing fee under 37 CFR 1.17(q)																																																																																																																																
		126 180	Submission of Information Disclosure Stmt																																																																																																																																
		581 40	Recording each patent assignment per property (times number of properties)																																																																																																																																
		146 740	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																
		149 740	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																
		179 740	Request for Continued Examination (RCE)																																																																																																																																
		169 900	Request for expedited examination of a design application																																																																																																																																
Other fee (specify) _____																																																																																																																																			
*or number previously paid, if greater; For Reissues, see above		SUBTOTAL (3) (\$)																																																																																																																																	

SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Lance R. Sadler	Registration No. (Attorney/Agent)	38,605
Signature	(Signature)		
Telephone	(509) 324-9256		
Date	12/17/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.